| SEC | Form | 4 |
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| Check this box if no longer subject to |  |
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| Section 16. Form 4 or Form 5           |  |
| obligations may continue. See          |  |
| Instruction 1(b).                      |  |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                     | ROVAL     |
|---------------------|-----------|
| OMB Number:         | 3235-0287 |
| Estimated average b | urden     |

| Estimated average burden |  |     |
|--------------------------|--|-----|
| hours per response:      |  | 0.5 |

| Harris Brian G                 |               | n*             | 2. Issuer Name and Ticker or Trading Symbol<br><u>GRIFFON CORP</u> [ GFF ] |                        | tionship of Reporting Perso<br>all applicable)<br>Director                                  | on(s) to Issuer<br>10% Owner           |
|--------------------------------|---------------|----------------|--|------------------------|---|--|
|                                |               | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/30/2018             |                        | Officer (give title<br>below)<br>SVP, Chief Financia  | Other (specify<br>below)<br>al Officer |
| (Street)<br>NEW YORK<br>(City) | NY<br>(State) | 10019<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)                   | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Repor<br>Form filed by More than<br>Person | ting Person                            |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | on Date, Transaction Disposed Of (D) (Instr. 3, 4 and 5) Securities Form (D) of (D) (Instr. 3, 4 and 5) Beneficially (D) of |   | Disposed Of (D) (Instr. 3, 4 and 5) |               | Transaction<br>Code (Instr. )<br>Disposed Of (D) (Instr. 3, 4 and 5)<br>Beneficially<br>Owned Following |                                    | Form: Direct | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|---|---|-------------------------------------|---------------|---|------------------------------------|--------------|---|
|                                 |  |   | Code  | v | Amount                              | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4) |              | (1150.4)  |
| Common Stock                    | 11/30/2018                                 |   | F   |   | 13,876 <sup>(1)</sup>               | D             | \$12.16   | 68,777                             | D            |   |
| Common Stock                    |  |   |   |   |                                     |               |   | 1,646                              |              | By<br>ESOP  |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              | -      |       | -   |  |                    |   | ,                                      |   |  |                    |  |
|---|---|--|---|------------------------------|--------|-------|-----|--|--------------------|---|--|---|--|--------------------|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) | Instr. | of Ex |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v      | (A)   | (D) | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |                    |  |

Explanation of Responses:

1. Shares withheld by or delivered to the registrant upon vesting of restricted stock to satisfy tax withholding obligations of the reporting person.

#### **Remarks:**

#### /s/ Seth L. Kaplan, pursuant to 12/04/2018

power-of-attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.