SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

I

- 1		
	OMB Number:	3235-0287
	Estimated average b	urden
	hours per response:	0.5

Instruction 1(b)	y continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	34		hours per resp	onse:	0.5
	•		or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addr BROSIG TH		ng Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>GRIFFON CORP</u> [GFF]		tionship of Re all applicable Director		on(s) to Issuer 10% Owner	
(Last) C/O GRIFFON		(Middle) RATION	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2022		Officer (give title below)	e title	Other (specify below)	
712 FIFTH AVENUE			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) NEW YORK	NY	10019		X	Form filed by One Reporting Person			
		10015			Person	y more than (10% Owner Other (specify below) Check Applicable	
(City)	(State)	(Zip)						
		Table I - Non-De	erivative Securities Acquired. Disposed of, or Ben	eficially	Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	03/03/2022		A		4,246	Α	\$0 ⁽¹⁾	39,273	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction 5. Number Date Conversion Transaction (Month/Dav/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) Owned or Indirect Derivative (I) (Instr. 4) Security (A) or Disposed Security (Instr. 3 and 4) Following Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Exercisable Expiration of Shares v Title

Date

Explanation of Responses:

1. Restricted stock grant under Company's 2016 Equity Incentive Plan. All shares of restricted stock will vest on March 3, 2023.

Remarks:

/s/ Seth L. Kaplan, as attorney-in-fact

03/04/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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