### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|             |      |       |

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  KRAMER RONALD J  |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner |   |  |              |                                 |  |
|--|----------|--------------------------------------|---------------------------------|---------|-----------------------------|---|---|---|------------------------------------|--|--------------------|-------------------------|----------------|----------------------------------|---|---|--|--------------|---------------------------------|--|
| KRAWER RONALD J  |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   | Director  |  | 109          | ó Owner                         |  |
|  | FFON COI | rst) (<br>RPORATION<br>E, 18TH FLOOI | Middle)                         |         |                             | 3. Date of Earliest Transaction (Month/Day/Year) 11/16/2018 |   |   |                                    |  |                    |                         |                | X                                | Officer (give title below)  Chairman of the Board and CEO                                     |   |  |              |                                 |  |
| (Street) NEW YO  | ORK N    | Y 1                                  | 10019                           |         | 4. If                       | Ame   | ndment,   | Date o                                  | of Original Filed (Month/Day/Year) |  |                    |                         |                |                                  | 6. Indiv<br>Line)<br>X  | ridual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person |  |              |                                 |  |
| (City)   | (Si      | tate) (                              | Zip)                            |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   | Forn<br>Pers  |  | e than One F | eporting                        |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   |   |  |              |                                 |  |
| Date   |          |                                      | 2. Transac<br>Date<br>(Month/Da |         | Ex<br>if a                  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   | 3.<br>Transaction<br>Code (Instr.<br>8) |                                    | 4. Securities Acquired (A) o<br>Disposed Of (D) (Instr. 3, 4 a |                    |                         |                |                                  |   | ities<br>icially<br>d Following   | 6. Ownershi<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | of Indirect  |                                 |  |
|  |          |                                      |                                 |         | Code                        | v   | Amount  | (A) or (D) Pric                         |                                    | Price  | Transaction(s)     |                         | action(s)      | (1130.4)                         |   |   |  |              |                                 |  |
| Common Stock 11/2  |          |                                      |                                 | 11/16/2 | /2018                       |   |   |   | P                                  |  | 19,997             |                         | Α              | \$12                             | \$12.2(1)   |   | 280,933  | D            |                                 |  |
| Common Stock 11/1  |          |                                      | 11/19/2                         | 2018    |                             |   |   | P                                       |                                    | 10,000   |                    | A \$11.97 <sup>(2</sup> |                | . <mark>97</mark> <sup>(2)</sup> | 2,290,933   |   | D  |              |                                 |  |
| Common Stock   |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   | 1,884   | I  | By<br>ESOP   |                                 |  |
| Common Stock   |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   | 4   | 0,298  | I            | By<br>Spouse<br>and<br>Children |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)   |          |                                      |                                 |         |                             |   |   |   |                                    |  |                    |                         |                |                                  |   |   |  |              |                                 |  |
| 1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  3. Transaction Date (Month/Day/Year) |          |                                      |                                 |         | Transaction<br>Code (Instr. |   | mber<br>ative<br>rities<br>ired<br>osed<br>. 3, 4 | 6. Date<br>Expirati<br>(Month/          | on Da                              |  |                    |                         |                |                                  | Ownersh<br>Form:<br>Direct (D<br>or Indirect<br>(I) (Instr.                                   | Beneficial<br>Ownership<br>(Instr. 4)   |  |              |                                 |  |
|  |          |                                      |                                 |         | Code                        | v   | (A)   | (D)                                     | Date<br>Exercis                    | able   | Expiration<br>Date | Title                   | or<br>Nu<br>of | mber                             |   |   |  |              |                                 |  |

#### Explanation of Responses:

- 1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$11.97 to \$12.43, inclusive. The reporting person undertakes to provide Griffon Corporation, any security holder of Griffon Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each price within the range set forth in this footnote.
- 2. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$11.69 to \$12.52, inclusive. The reporting person undertakes to provide Griffon Corporation, any security holder of Griffon Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each price within the range set forth in this footnote.

# Remarks:

/s/ Seth L. Kaplan, as attorney-11/19/2018 in-fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.