SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	or Sec		1					
1. Name and Address of Reporting Person [*] Cocke Travis W.	2. Date of Event Requiring Statement (Month/Day/Year) 01/09/2023		3. Issuer Name and Ticker or Trading Symbol <u>GRIFFON CORP</u> [GFF]					
(Last) (First) (Middle) 3773 RICHMOND AVENUE, SUITE 500			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (cive Other (specify			 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 		
(Street) HOUSTON TX 77046	-		Officer (give X Other (specify title below) X below) See Remarks			Form filed by One Reporting Person X Form filed by More than One Reporting Person		
(City) (State) (Zip)	_							
T	able I - Noi	n-Derivat	ive Securities Benefic	cially Ov	vned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or Ir (I) (Instr)irect O ndirect	Nature of Indire wnership (Instr.	ature of Indirect Beneficial nership (Instr. 5)	
Common Stock, \$0.25 par value ⁽¹⁾	k, \$0.25 par value ⁽¹⁾		1,380,000	I	B (2)	y: Voss Value	Master Fund, L.F	
ommon Stock, \$0.25 par value ⁽¹⁾			191,099	I		y: Voss Value- ituations Fund,	Oriented Special L.P. ⁽³⁾	
Common Stock, \$0.25 par value ⁽¹⁾			1,497,753	I	By: Managed Accounts of Vo Capital, LLC ⁽⁴⁾		ccounts of Voss	
(e.ç			e Securities Beneficiants, options, convert					
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)	curity Conversion or Exercise		se Form:	6. Nature of Indirect Beneficia Ownership (Instr.	
	Date	Expiration		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
	Exercisable	Date	Title					
Call Option (right to buy)		01/20/2023	Common Stock, \$0.25 par value	5,700	20.5	I	By: Voss Value Master Fund, L.P. ⁽²⁾	
	Exercisable		Common Stock,		20.5	I	Master Fund,	
Call Option (right to buy) Call Option (right to buy) Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value Common Stock,	5,700		I I I I	Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund,	
Call Option (right to buy)	(5) (5)	01/20/2023 01/20/2023	Common Stock, \$0.25 par value Common Stock, \$0.25 par value Common Stock,	5,700 11,400	23		Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund,	
Call Option (right to buy) Call Option (right to buy)	(5) (5) (5)	01/20/2023 01/20/2023 01/20/2023	Common Stock, \$0.25 par valueCommon Stock, \$0.25 par value	5,700 11,400 13,500	23	I	Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund, L.P. ⁽²⁾ By: Voss Value Master Fund,	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	19,900	30	I	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	25,800	35	Ι	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	14,200	40	Ι	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	100	43	Ι	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	14,200	44	Ι	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	25,000	50	Ι	By: Voss Value Master Fund, L.P. ⁽²⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	800	20.5	Ι	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	1,600	23	Ι	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	1,200	25	I	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	800	26	Ι	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	800	27	I	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	800	28	Ι	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	2,700	30	Ι	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	3,700	35	I	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		nts, options, convertible sec 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative	Form: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	1,900	40	I	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	1,900	44	I	By: Voss Value- Oriented Special Situations Fund, L.P. ⁽³⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	3,500	20.5	I	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	7,000	23	I	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	10,300	25	I	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	3,500	26	I	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	3,500	27	Ι	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	3,500	28	Ι	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	12,400	30	Ι	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
Call Option (right to buy)	(5)	01/20/2023	Common Stock, \$0.25 par value	15,500	35	Ι	By: Managed Accounts of Voss Capital, LLC ⁽⁴⁾
1. Name and Address of Reporting Person [*] Cocke Travis W.	7			·	,	,	
(Last) (First) (N 3773 RICHMOND AVENUE, SUIT	1iddle) E 500						
(Street) HOUSTON TX 7	7046						
(City) (State) (Z	ip)						

Voss Advise	ors <u>GP, LLC</u>		
(Last)	(First)	(Middle)	
3773 RICHMO	OND AVENUE	E, SUITE 500	
(Street)			
HOUSTON	TX	77046	
(City)	(State)	(Zip)	
1. Name and Add <u>Voss Capita</u>	ress of Reporting <u>1, LLC</u>	Person [*]	
(Last)	(First)	(Middle)	
3773 RICHMO	OND AVENUE	E, SUITE 500	
(Street)			
HOUSTON	ТХ	77046	
P.			

Explanation of Responses:

1. This Form 3 is filed jointly by Voss Value Master Fund, L.P. ("Voss Value Master Fund"), Voss Value-Oriented Special Situations Fund, L.P. ("Voss Value-Oriented Special Situations Fund"), Voss Advisors GP, LLC ("Voss GP"), Voss Capital, LLC ("Voss Capital") and Travis W. Cocke (collectively, the "Reporting Persons"). Each Reporting Person disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein, and this report shall not be deemed to be an admission that any Reporting Person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose. Since the Securities and Exchange Commission's electronic filing system only accepts a maximum of 30 lines per Table, this report is the first in a series of two reports being filed with the Securities and Exchange Commission.

2. Securities owned directly by Voss Value Master Fund. Voss GP, as the general partner of Voss Value Master Fund, may be deemed to beneficially own the securities owned directly by Voss Value Master Fund. Woss Capital, as the investment manager of Voss Value Master Fund, may be deemed to beneficially own the securities owned directly by Voss Value Master Fund. Mr. Cocke, as the managing member of Voss Capital and Voss GP, may be deemed to beneficially own the securities owned directly by Voss Value Master Fund.

3. Securities owned directly by Voss Value-Oriented Special Situations Fund. Voss GP, as the general partner of Voss Value-Oriented Special Situations Fund, may be deemed to beneficially own the securities owned directly by Voss Value-Oriented Special Situations Fund. Voss Capital, as the investment manager of Voss Value-Oriented Special Situations Fund, may be deemed to beneficially own the securities owned directly by Voss Value-Oriented Special Situations Fund. Mr. Cocke, as the managing member of Voss Capital and Voss GP, may be deemed to beneficially own the securities owned directly by Voss Value-Oriented Special Situations Fund. Mr. Cocke, as the managing member of Voss Capital and Voss GP, may be deemed to beneficially own the securities owned directly by Voss Value-Oriented Special Situations Fund.

4. Securities held in certain accounts separately managed by Voss Capital (the "Voss Managed Accounts"). Voss Capital, as the investment manager of the Voss Managed Accounts, may be deemed to beneficially own the securities held in the Voss Managed Accounts. Mr. Cocke, as the managing member of Voss Capital, may be deemed to beneficially own the securities held in the Voss Managed Accounts.

5. Such options are exercisable as of the date hereof and were immediately exercisable upon their acquisition.

Remarks:

Mr. Cocke is a director of the Issuer. For purposes of Section 16 of the Securities Exchange Act of 1934, as amended, each of the Reporting Persons (other than Mr. Cocke) may be deemed a director by deputization by virtue of Mr. Cocke's service on the Board of Directors of the Issuer.

/s/ Travis W. Cocke	<u>01/11/2023</u>
Voss Value Master Fund, L.P.; By: Voss Advisors GP, LLC; By: /s/ Travis W Cocke	01/11/2023
<u>Voss Value-Oriented</u> <u>Special Situations Fund,</u> <u>L.P.; By: Voss Advisors</u> <u>GP, LLC; By: /s/ Travis W</u> <u>Cocke</u>	<u>01/11/2023</u>
<u>Voss Advisors GP, LLC;</u> <u>By: /s/ Travis W. Cocke</u>	<u>01/11/2023</u>
<u>Voss Capital, LLC; By: /s/</u> <u>Travis W. Cocke</u>	<u>01/11/2023</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.