SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

- 1		
	OMB Number:	3235-0287
	Estimated average bu	urden
	hours per response:	0.5

Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1	hours per re	sponse: 0.5	5		
			or Section 30(h) of the Investment Company Act of 1940		<u> </u>			
1. Name and Addre		ng Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>GRIFFON CORP</u> [GFF]	(Check	k all applicable	ble)		
					Director			
(Last) C/O GRIFFON	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2022		Officer (give below)	e title	Other (specify below)	
712 FIFTH AV	ENUE							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/	or 10% Owner (give title Other (specify below) Doint/Group Filing (Check Applicable iled by One Reporting Person iled by More than One Reporting		
(Street)				X	Form filed b	y One Rep	orting Person	
NEW YORK	NY	10019			Form filed b Person	Reporting Person(s) to Issuer alle) 10% Owner ve title Other (specify below) nt/Group Filing (Check Applica	n One Reporting	
(City)	(State)	(Zip)						
		Table I - Non-D	erivative Securities Acquired, Disposed of, or Bei	neficially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and 5)		Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	03/03/2022		A		4,246	Α	\$0 ⁽¹⁾	15,744	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Restricted stock grant under Company's 2016 Equity Incentive Plan. All shares of restricted stock will vest on March 3, 2023.

Remarks:

<u>/s/ Seth L. Kaplan, as</u> <u>attorney-in-fact</u>

03/04/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.