SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> TURNBULL CHERYL L	2. Date of Event Requiring Statemer (Month/Day/Year) 05/02/2018		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>GRIFFON CORP</u> [ GFF ]					
(Last) (First) (Middle) C/O GRIFFON CORPORATION			I. Relationship of Reporting Perso Check all applicable) X Director			5. If Amendment, Date of Original Filed (Month/Day/Year)		
712 FIFTH AVENUE			Officer (give title below)	Other (spe below)	Appl	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) NEW YORK NY 10019					X		y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Amount of Securities 3. Ownersh Form: Direct or Indirect (Instr. 5)		ct (D) (Instr. 5)			
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisa Expiration Date (Month/Day/Yea	•	3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Explanation of Responses:		Expiration Date Tit	Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		

Remarks:

No securities are beneficially owned.

/s/ Seth L. Kaplan, as attorneyin-fact 05/03/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.