SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

 -	-
OMB Number:	3235-0287
Estimated average	burden
hours per response	: 0.5

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to Section 16. F	Form 4 or Form 5 / continue. See	• • • • •	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	4		Estimated average burden hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Addr Renuart Vict	1 0	erson*	2. Issuer Name and Ticker or Trading Symbol GRIFFON CORP [GFF]		onship of F all applicab		son(s) to Issuer		
	<u>or Eugene</u>			X	Director		10% Owner		
(Last) C/O GRIFFON	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2022		Officer (giv below)	ve title	Other (specify below)	1	
712 FIFTH AV	ENUE		A If Amendment Date of Original Filed (Manth/Day(Masr)	C. Individ	lucion loin				
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)				X	Form filed	by One Repo	orting Person		
NEW YORK	NY	10019			Form filed Person	by More than	One Reporting		
(City)	(State)	(Zip)							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

e Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				Securities Beneficially	(I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/03/2022		A		4,246	Α	\$0 ⁽¹⁾	36,609	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction 5. Number Date Conversion Transaction (Month/Day/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) Owned or Indirect Derivative (A) or Disposed of (D) (Instr. 3, 4 and 5) (I) (Instr. 4) Security Security (Instr. 3 and 4) Following Reported Transaction(s) (Instr. 4) Amount or

Date Exercisable

Expiration

Date

Explanation of Responses:

1. Restricted stock grant under Company's 2016 Equity Incentive Plan. All shares of restricted stock will vest on March 3, 2023.

Remarks:

/s/ Seth L. Kaplan, as attorney-in-fact

Number

of Shares

Title

03/04/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(D)

(A)